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# TRANSMITTAL FORM

*(to be used for all correspondence after initial filing)*

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| Total Number of Pages in This Submission | |

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|---|---|
| Application Number | 10/682,601 |
| Filing Date | October 9, 2003 |
| First Named Inventor | CLUBB, Ian James |
| Art Unit | 2183 |
| Examiner Name | |
| Attorney Docket Number | 1160215/0509834 |

## ENCLOSURES *(Check all that apply)*

- ☐ Fee Transmittal Form
  - ☐ Fee Attached
- ☐ Amendment/Reply
  - ☐ After Final
  - ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☑ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Reply to Missing Parts/ Incomplete Application
  - ☐ Reply to Missing Parts under 37 CFR 1.52 or 1.53
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) _____
  - ☐ Landscape Table on CD
- ☐ After Allowance Communication to TC
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to TC **(Appeal Notice, Brief, Reply Brief)**
- ☐ Proprietary Information
- ☐ Status Letter
- ☑ Other Enclosure(s) (please Identify below):
  Return postcard

Remarks

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

| | | | |
|---|---|---|---|
| Firm Name | Frost Brown Todd LLC | | |
| Signature | | | |
| Printed name | Ria Farrell Schalnat | | |
| Date | 10-22-2004 | Reg. No. | 47,058 |

## CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

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| Signature | Sarah Abraham | | |
| Typed or printed name | Sarah Abraham | Date | 10-22-04 |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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Customer No. 26874
PATENT TRADEMARK OFFICE
Atty. Docket: 1160215.0509834

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| | | | |
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| Applicant: | Ian James Clubb et al. | : Paper No: | |
| Serial No. | 10/682,601 | : Group Art Unit: | 2183 |
| Filed: | October 9, 2003 | : Examiner: | |
| For: | SYSTEM AND METHOD FOR WORK MANAGEMENT | | |

## INFORMATION DISCLOSURE STATEMENT UNDER 35 U.S.C. § 1.97(e)(1)

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, Applicants herewith submit certain patent references and other information, which the Patent & Trademark Office may wish to consider in examining the above-identified application. The references are provided below, and are also listed on the attached Form PTO-1449.

| U.S. Patent Documents | | | |
|---|---|---|---|
| Document No. | | Publication Date | Patentee/Applicant |
| US | 5,539,883 | 07-23-1996 | Allon et al. |
| US | 5,751,961 | 05-12-1998 | Smyk |
| US | 5,860,137 | 01-12-1999 | Raz et al. |
| US | 5,938,722 | 08-17-1999 | Johnson |
| US | 6,058,423 | 05-02-2000 | Factor |
| US | 6,272,523 | 08-07-2001 | Factor |
| US | 6,374,297 | 04-16-2002 | Wolf et al. |

## Certification

Each item of information included herewith was cited in the International Search Report in the related PCT application PCT/US03/32254 not more than three months prior to the filing of the Information Disclosure Statement. A copy of the International Search Report is included for the Examiner's convenience.

No representation is made or intended that a prior art search has been made or that no better art than the listed is available. It is respectfully requested that the information be considered by the Examiner and made of record in the present application.

**CERTIFICATE OF MAILING**

I hereby certify that a copy of this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

Oct. 22, 2004

Sarah Abraham

Sarah Abraham

Respectfully submitted,

IAN JAMES CLUBB ET AL.

By _____

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Date 10/22/04

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Substitute for form 1449/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(Use as many sheets as necessary)*

Sheet 1 of 1

| Complete if Known | |
|---|---|
| Application Number | 10/682,601 |
| Filing Date | October 9, 2003 |
| First Named Inventor | CLUBB, Ian James |
| Art Unit | 2183 |
| Examiner Name | |
| Attorney Docket Number | 1160215/0509834 |

**U. S. PATENT DOCUMENTS**

| Examiner Initials* | Cite No.[1] | Document Number<br>Number-Kind Code[2] *(if known)* | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|---|---|---|---|---|---|
| | | US- 5,539,883 | 07-23-1996 | Allon et al. | |
| | | US- 5,751,961 | 05-12-1998 | Smyk | |
| | | US- 5,860,137 | 01-12-1999 | Raz et al. | |
| | | US- 5,938,722 | 08-17-1999 | Johnson | |
| | | US- 6,058,423 | 05-02-2000 | Factor | |
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| | | US- 6,374,297 | 04-16-2002 | Wolf et al. | |
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**FOREIGN PATENT DOCUMENTS**

| Examiner Initials* | Cite No.[1] | Foreign Patent Document<br>Country Code[3]-Number[4]-Kind Code[5] *(if known)* | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear | T[6] |
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. [1] Applicant's unique citation designation number (optional). [2] See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. [3] Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). [4] For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. [5] Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. [6] Applicant is to place a check mark here if English language Translation is attached.

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